Technische Universität München WS 2017/18 Institut für Informatik 199.01.2018 Prof. Tobias Nipkow, Ph.D. Lars Hupel

Semantics of Programming Languages

Exercise Sheet 11

Exercise 11.1 Using the VCG

Use the VCG to prove correct a multiplication and a square root program:

definition MUL :: com where

" $MUL =$ $"z" ::= N 0;$ $^{\prime\prime}$ c''::=N 0;; WHILE (Less $(V''c'') (V''y'') DO$ $"z" ::= Plus \; (V \; "z") \; (V \; "x")$;; $C'':=Plus (V'c'') (N 1))"$

lemma " \vdash $\{\lambda s. \ \theta \leq s \ 'y'' \wedge s = sorig\}$ MUL $\{\lambda s. s''z'' = s''x'' * s''y'' \wedge (\forall v. v \notin \{''z'',''c''\} \longrightarrow s v = sorig v)\}$ "

definition SQRT :: com where

" $\mathcal{S}QRT =$ $''r'':= N 0;$ $\mathscr{S}'':= N 1;$ WHILE $(Not (Less (V''x'') (V''s''))) DO ($ $"r" ::= Plus (V "r") (N 1);;$ $"s" ::= Plus (V "s") (V "r")$;; $"s" ::= Plus (V "s") (V "r")$;; $\iota''s'' ::= Plus \; (V \; \iota''s'') \; (N \; 1)$)"

lemma " \vdash $\{\lambda s. s = \text{sorig} \land s \ 'x'' \geq 0\}$ SQRT $\{\lambda s.$ $(s''r'')^2 \leq s''x'' \wedge s''x'' < (s''r''+1)^2 \wedge (\forall v. \ v \notin \{``s'',\prime''r''\} \longrightarrow s \ v = sorig \ v) \}$ "

Exercise 11.2 Hoare Logic OR

Extend IMP with a new command c_1 OR c_2 that is a nondeterministic choice: it may execute either c_1 or c_2 . Add the constructor

Or com com ("_ OR/ _" [60, 61] 60)

to datatype *com* in theory *Com*, adjust the definition of the big-step semantics in theory Big_Step , add a rule for OR to the Hoare logic in theory *Hoare*, and adjust the soundness and completeness proofs in theory Hoare_Sound_Complete.

All these changes should be quite minimal and very local if you got the definitions right.

Homework 11.1 A Hoare Calculus with Execution Times

Submission until Tuesday, January 16, 2018, 10:00am.

In this homework, we will consider a Hoare calculus with execution times. Hint: Use the template provided on the website.

Step 1 We first give a modified big-step semantics to account for execution times. A judgement of the form $(c, s) \Rightarrow n \Downarrow t$ has the intended meaning that we can get from state s to state t by an terminating execution of program c that takes exactly n time steps.

inductive

big_step_t :: "com \times state \Rightarrow nat \Rightarrow state \Rightarrow bool" (" \Rightarrow \bot " 55) where Skip: " $(SKIP,s) \Rightarrow Succ 0 \Downarrow s"$ Assign: " $(x ::= a,s) \Rightarrow \textit{Suc 0} \Downarrow s(x := \textit{aval a s})$ " Seq: " $[(c1, s1) \Rightarrow x \Downarrow s2; (c2, s2) \Rightarrow y \Downarrow s3; z=x+y] \Rightarrow (c1; c2, s1) \Rightarrow z \Downarrow s3"$ If True: " [[bval b s; $(c1, s) \Rightarrow x \Downarrow t$; $y=x+1$]] \implies (IF \bar{b} THEN $c1$ ELSE $c2, s) \Rightarrow y \Downarrow t$ " | If False: " $[\neg \text{bval } b s; (c2, s) \Rightarrow x \Downarrow t; y=x+1] \Longrightarrow (IF b THEN c1 E LSE c2, s) \Rightarrow y \Downarrow t"$ WhileFalse: " $[\neg \text{bval } b \ s \] \Longrightarrow (\text{WHILE } b \text{ DO } c,s) \Rightarrow \text{Suc } \theta \ \Downarrow \ s"]$ WhileTrue: " [[bval b s1; $(c,s1) \Rightarrow x \Downarrow s2$; $(WHILE b DO c, s2) \Rightarrow y \Downarrow s3$; $1+x+y=z$]] \implies (*WHILE b DO c, s1*) \Rightarrow z \downarrow s^{3"}

Step 2 Some theoretical background: We need *extended natural numbers*. These are provided by the Extended Nat theory. We can imagine extended natural numbers as the union of all natural numbers $\mathbb N$ and ∞ . Here are some examples to illustrate their arithmetic behaviour:

value $\sqrt[a]{3}$::enat $\sqrt[a]{-3}$ value " ∞ ::enat" — ∞ value $\sqrt[a]{3::enat} + 4" - 7$ value " $(3::enat) + \infty$ " — ∞ value " $eSuc$ 3" — 4 value " $eSuc \infty$ " — ∞

Step 3 Next, we define a Hoare calculus that also accounts for execution times. Assertions are still the same (of type *state* \Rightarrow bool), but we introduce new *quantitative* assertions of type state \Rightarrow enat.

type_synonym $assn = "state \Rightarrow bool"$ type_synonym $qassn = "state \Rightarrow end"$

It is thought that the result of a *qassn* represents a *potential*, where ∞ corresponds to a False assertion in classical Hoare calculus. We can hence embed assertions into quantitative assertions:

fun emb :: "bool \Rightarrow enat" (" \downarrow ") where "emb False = ∞ " " emb True $= 0$ "

We can define what it means for a quantitative Hoare triple to be valid:

definition hoare Qvalid :: "qassn \Rightarrow com \Rightarrow qassn \Rightarrow bool" $(\ ^{\omega} \models_Q \{ (1_{-}) \} / \ (-) / \ \{ (1_{-}) \}$ " 50) where " $\vdash_Q \{P\} \ c \{Q\} \leftrightarrow (\forall s. \ P s < \infty \longrightarrow (\exists t \ p. ((c,s) \Rightarrow p \Downarrow t) \land P s \geq p + Q t))$ "

Finally, we define quantitative Hoare judgements. The idea is that both pre- and postcondition assign an enat to a state that is then decreased as the execution progresses. We will see an example in the next step.

inductive hoare Q :: "qassn \Rightarrow com \Rightarrow qassn \Rightarrow bool" (" \vdash_Q ({(1)}/ (_)/ {(1)})" 50) where

— Skipping and assignment both decrease the potential. Skip: " $\vdash_Q {\lambda s. eSuc (P s)} SKIP {P} " |$ Assign: $\lim_{n \to \infty} \{ \lambda s. eSuc \left(\left(\sum_{i=1}^{n} (s[a/x]) \right) \right) x ::= a \{P\}$ "

 $-$ IF $\overline{}$ THEN $\overline{}$ ELSE $\overline{}$ is a bit tricky: We decrease the potential by one before executing either branch. Then we add 0 to the branch that gets executed and ∞ to the branch that does not get executed. This is similar to how in classical Hoare calculus, the branch that does not get executed gets False as precondition.

If: " $\lceil \bigcup_{Q} {\{\lambda s. P s + \downarrow (\text{ } bval b s) \} } c_1 \{Q\};$ $\vdash_Q {\{\lambda s.\ P\ s + \downarrow (\neg \text{ } bval \text{ } b \text{ } s)\}\ c_2 \{Q\}$ $\Rightarrow \vdash_Q \{\lambda s. \text{ } eSuc \text{ } (P \text{ } s)\} \text{ } IF \text{ } b \text{ } THEN \text{ } c_1 \text{ } ELSE \text{ } c_2 \text{ } \{Q\} \text{ } " \text{ } \}$

— Sequence works about as expected. $Seq: \text{``$\mathbb{F}$} \vdash_Q \{P_1\} \ c_1 \ \{P_2\}; \vdash_Q \overline{\{P_2\}} \ c_2 \ \{P_3\} \mathbb{I} \Longrightarrow \vdash_Q \{P_1\} \ c_1; \vdots c_2 \ \{P_3\} \mathbb{I}$

— WHILE \overline{L} DO \overline{L} is a combination of conditional and sequence. The invariant is also a function to enat. While:

" $\vdash_Q {\lambda s.\ I\ s + \downarrow (bval\ b\ s)}\ c\ {\lambda t.\ I\ t + 1}$ $\Rightarrow \vdash_Q \{\lambda s. \ I \ s + 1 \}$ WHILE b DO c $\{\lambda s. \ I \ s + \downarrow (\neg \text{ } bval \ b \ s)\}$ "

— The consequence rule also works like in the classic Hoare calculus. conseq: " $[\ \vdash_Q \{P\} \ c \ \{Q\};\ \bigwedge s.\ P\ s \leq P'\ s;\ \bigwedge s.\ Q'\ s \leq Q\ s\] \Longrightarrow$

 $\vdash_Q \{P'\}$ c $\{Q'\}$ "

Step 4 To exercise our newly-introduce Hoare calculus with timing, we will prove a Hoare triple for an example program that computes the sum of numbers from 1 to n . However, we are only interested in computing the total runtime and disregard correctness properties.

fun sum :: "int \Rightarrow int" where "sum $i = (if i \leq 0 then 0 else sum (i - 1) + i)"$

definition wsum :: com where

" $wsum =$ $^{\prime\prime}y^{\prime\prime} ::= N \theta;$ WHILE Less $(N 0)$ $(V''x'')$ $DO(''y'':= Plus (V''y'') (V''x'');$ $"x" ::= Plus (V "x") (N (- 1)))"$

The following lemma states the the *wsum* program will take at most $2 + 3 * n$ steps to complete. Prove it!

lemma wsum: " $\vdash_Q \{\lambda s. \text{ enat } (2 + 3*n) + \downarrow (s \text{ ''x'' = int } n)\}$ wsum $\{\lambda s. \theta\}$ " unfolding wsum_def $apply(\textit{rule} \textit{Seq}[\textit{rotated}])$ apply(rule conseq) apply(rule While[where $I = \alpha \lambda s$. enat $(3 * nat(s' x'')')$ "])

Step 5 Your task is to prove a fragment of the soundness theorem, namely for sequences.

theorem hoareQ_sound: " $\vdash_Q \{P\}$ c $\{Q\} \Longrightarrow \models_Q \{P\}$ c $\{Q\}$ " proof(induction rule: hoareQ.induct) case (Skip P) — Proven already. show ?case next case (Seq P_1 c_1 P_2 c_2 P_3) — Prove this as a lemma: $[$ $\vdash_Q \{P_1\} \ c_1 \ \{P_2\};$ $\vdash_Q \{P_2\} \ c_2 \ \{P_3\}$ \implies $\vdash_Q \{P_1\} \ c_1;$; $c_2 \ \{P_3\}$ then show ?case using Seq_sound by auto next — For bonus points, prove the remaining cases. qed