

Semantics of Programming Lectures

Exercise Sheet 13

Exercise 13.1 Inverse Analysis

Consider a simple sign analysis based on this abstract domain:

datatype *sign* = *None* | *Neg* | *Pos0* | *Any*

fun $\gamma :: \text{"sign} \Rightarrow \text{val set"}$ **where**
" γ *None* = {} " |
" γ *Neg* = { *i*. *i* < 0 } " |
" γ *Pos0* = { *i*. *i* ≥ 0 } " |
" γ *Any* = *UNIV* "

Define inverse analyses for "+" and "<" and prove the required correctness properties:

fun *inv_plus'* :: "sign ⇒ sign ⇒ sign ⇒ sign * sign"

lemma

" [*inv_plus' a a1 a2* = (*a1'*, *a2'*); *i1* ∈ γ *a1*; *i2* ∈ γ *a2*; *i1+i2* ∈ γ *a*]
⇒ *i1* ∈ γ *a1'* ∧ *i2* ∈ γ *a2'* "

fun *inv_less'* :: "bool ⇒ sign ⇒ sign ⇒ sign * sign"

lemma

" [*inv_less' bv a1 a2* = (*a1'*, *a2'*); *i1* ∈ γ *a1*; *i2* ∈ γ *a2*; (*i1*<*i2*) = *bv*]
⇒ *i1* ∈ γ *a1'* ∧ *i2* ∈ γ *a2'* "

The following is an old exam exercise:

Exercise 13.2 Command Equivalence

Recall the notion of *command equivalence*:

$c_1 \sim c_2 \equiv (\forall s t. (c_1, s) \Rightarrow t \iff (c_2, s) \Rightarrow t)$

1. Define a function *is_SKIP* :: *com* ⇒ *bool* which holds on commands equivalent to *SKIP*. The function *is_SKIP* should be as precise as possible, but it should not analyse arithmetic or boolean expressions.

Prove: *is_SKIP* *c* ⇒ *c* ∼ *SKIP*

2. The following command equivalence is wrong. Give a counterexample in the form of concrete instances for b_1 , b_2 , c_1 , c_2 , and a state s .

$$\begin{aligned} & \text{WHILE } b_1 \text{ DO IF } b_2 \text{ THEN } c_1 \text{ ELSE } c_2 \\ & \sim \text{IF } b_2 \text{ THEN (WHILE } b_1 \text{ DO } c_1) \text{ ELSE (WHILE } b_1 \text{ DO } c_2) \end{aligned} \quad (*)$$

3. Define a condition P on b_1 , b_2 , c_1 , and c_2 such that the previous statement (*) holds, i.e. $P \ b_1 \ b_2 \ c_1 \ c_2 \implies (*)$

Your condition should be as precise as possible, but only using:

- $lvars :: com \Rightarrow vname \ set$ (all left variables, i.e. written variables),
- $rvars :: com \Rightarrow vname \ set$ (all right variables, i.e. all read variables),
- $vars :: bexp \Rightarrow vname \ set$ (all variables in a condition), and
- boolean connectives and set operations

Homework 13.1 A generic abstract interpreter based on denotational semantics

Submission until Sunday, Feb 6, 23:59pm.

In this homework, you will be guided through developing a generic semantics for IMP. Then, for two such semantics whose domain parameters are related by a concretization function, you will prove soundness of a generic abstract interpreter. The framework will be mostly based on the *complete_lattice* type class, which you have seen in exercise sheet 12. This class is defined in the theory *Complete_Lattices*.

Similarly to what is described in the lectures for semilattices, the lattice order operations are extended from any type $'a$ to $'b \Rightarrow 'a$ componentwise. We shall be interested in the least fixed points $lfp \ F$ of monotone functionals F defined between complete lattices of functions. $lfp \ F$ is itself a monotone function:

$$\llbracket mono \ F; \ \wedge f. \ mono \ f \implies mono \ (F \ f) \rrbracket \implies mono \ (lfp \ F)$$

We shall also use a binary version of monotonicity:

$$mono2 \ f \equiv \forall x1 \ x2 \ y1 \ y2. \ x1 \leq y1 \wedge x2 \leq y2 \longrightarrow f \ x1 \ x2 \leq f \ y1 \ y2$$

We work with the usual datatypes for expressions and commands, save for the fact that boolean expressions are slightly simplified:

datatype $bexp = Bc \ bool \ | \ Less \ aexp \ aexp$

We shall consider a generic semantics, operating on states that store values from an unspecified domain $'val$:

type_synonym $'val \ state = \text{"vname} \Rightarrow 'val"$

The domain $bval$ for booleans shall be fixed to a type slightly more flexible than $bool$:

datatype $bval = Nothing \ | \ Tr \ | \ Fl \ | \ Any$

Your first task is to organize $bval$ as an order as follows: Tr and Fl represent the (incomparable) boolean values, $Nothing$ is the bottom and Any is the top:

instantiation *bval* :: *order*
begin

definition *less_eq_bval* :: “*bval* \Rightarrow *bval* \Rightarrow *bool*”

definition *less_bval* :: “*bval* \Rightarrow *bval* \Rightarrow *bool*”

instance
end

Show the following for your definitions:

lemma *not_less_eq_bval*[*simp*]:

“ $a \leq \text{Nothing} \iff a = \text{Nothing}$ ” “ $\neg \text{Any} \leq \text{Fl}$ ” “ $\neg \text{Tr} \leq \text{Fl}$ ” “ $\neg \text{Any} \leq \text{Tr}$ ” “ $\neg \text{Fl} \leq \text{Tr}$ ”

bool is embedded in *bval* as expected:

BBc True = *Tr*

BBc False = *Fl*

Note that *BBc* is an operation on the domain of boolean values corresponding to the syntactic *Bc* operator. Next, in a locale *SEM*, we fix operators corresponding to the syntactic constructs for arithmetic expressions. These operators are assumed monotone.

locale *SEM* =

fixes *NN* :: “*int* \Rightarrow '*val*::*complete_lattice*”

and *PPlus* :: “'*val* \Rightarrow '*val* \Rightarrow '*val*”

and *LLess* :: “'*val* \Rightarrow '*val* \Rightarrow *bval*”

assumes *mono2_PPlus*: “*mono2 PPlus*”

and *mono2_LLess*: “*mono2 LLess*”

begin

We now work in the context of this locale, meaning that we have available the indicated constants for which we can use the stated assumptions. Define evaluation functions handling variables by state lookup and mapping the syntactic operators to the fixed semantic ones (e.g., *Plus* to *PPlus*):

fun *aval* :: “*aexp* \Rightarrow '*val state* \Rightarrow '*val*”

fun *bval* :: “*bexp* \Rightarrow '*val state* \Rightarrow *bval*”

The semantics is defined *denotationally*, assigning a function between states to each command. The while case requires taking a least fixed point, via the combinator *wcomb*.

definition *wcomb* :: “('val state \Rightarrow *bval*) \Rightarrow ('val state \Rightarrow '*val state*) \Rightarrow ('val state \Rightarrow '*val state*) \Rightarrow ('val state \Rightarrow '*val state*)” **where**

“*wcomb* *b c w s* \equiv *case b s of*

Nothing \Rightarrow *bot*

| *Fl* \Rightarrow *s*

| *Tr* \Rightarrow *w (c s)*

| *Any* \Rightarrow *sup (w (c s)) s*”

fun *sem* :: “*com* \Rightarrow '*val state* \Rightarrow '*val state*” **where**

“*sem SKIP s* = *s*”

| “*sem (x ::= a) s* = *s(x := aval a s)*”

```

| “sem (c1 ; c2) s = sem c2 (sem c1 s)”
| “sem (IF b THEN c1 ELSE c2) s = (case bval b s of
  Nothing ⇒ bot
  | Tr ⇒ sem c1 s
  | Fl ⇒ sem c2 s
  | Any ⇒ sup (sem c1 s) (sem c2 s))”
| “sem (WHILE b DO c) s = lfp (wcomb (bval b) (sem c)) s”

```

Prove that the command semantics is monotone. You will need lemmas about monotonicity of the various involved operators, as well as the following saying that *wcomb* preserves monotonicity:

```

lemma pres_mono_wcomb:
  assumes b: “mono b”
  and c: “mono c”
  and w: “mono w”
  shows “mono (wcomb b c w)”

```

```

lemma mono_wcomb: assumes c: “mono c”
  shows “mono (wcomb b c)”

```

```

lemma mono_sem: “mono (sem c)”

```

end

We are done with defining a parameterized generic semantics. Now we move to defining an abstract interpreter between two semantics. The following locale fixes two generic semantics: a “concrete” one on domain *cval*, whose operator names are prefixed by “*C_*”, and an “abstract” one on domain *aval*, whose operator names are prefixed by “*A_*”.

It also fixes a monotone concretization function between their domains that behaves well w.r.t. the semantic operators. Thus, e.g., *PPlus_γ* says that adding two abstract values and then concretizing yields an approximation of the result of adding the concretized values; in other words, the abstract operator *A_PPPlus* is sound (via γ) w.r.t. the concrete operator *C_PPPlus*.

Finally, it fixes an abstraction function α that can be used to obtain, for each concrete value, an abstract value that approximates it.

```

locale AI = C : SEM C_NN C_PPPlus C_LLess + A : SEM A_NN A_PPPlus A_LLess

```

```

for C_NN :: “int ⇒ 'cval::complete_lattice”
  and C_PPPlus :: “'cval ⇒ 'cval ⇒ 'cval”
  and C_LLess :: “'cval ⇒ 'cval ⇒ bval”

```

```

and A_NN :: “int ⇒ 'aval::complete_lattice”
and A_PPPlus :: “'aval ⇒ 'aval ⇒ 'aval”
and A_LLess :: “'aval ⇒ 'aval ⇒ bval”

```

+

```

fixes  $\gamma$  :: “'aval ⇒ 'cval”
  and  $\alpha$  :: “'cval ⇒ 'aval”

```

```

assumes  $\alpha\_ \gamma$ : “ $cv \leq \gamma (\alpha cv)$ ”
  and  $mono\_ \gamma$ : “ $mono \ \gamma$ ”
  and  $NN\_ \gamma[simp]$ : “ $C\_NN \ i \leq \gamma (A\_NN \ i)$ ”
  and  $PPlus\_ \gamma[simp]$ : “ $C\_PPlus (\gamma \ av1) (\gamma \ av2) \leq \gamma (A\_PPlus \ av1 \ av2)$ ”
  and  $LLess\_ \gamma[simp]$ : “ $C\_LLess (\gamma \ av1) (\gamma \ av2) \leq A\_LLess \ av1 \ av2$ ”
begin

```

setup so that abbreviations are printed nicely:

```

abbreviation “ $C\_aval \equiv C.aval$ ” abbreviation “ $C\_bval \equiv C.bval$ ”
abbreviation “ $C\_wcomb \equiv C.wcomb$ ” abbreviation “ $C\_sem \equiv C.sem$ ”
abbreviation “ $A\_aval \equiv A.aval$ ” abbreviation “ $A\_bval \equiv A.bval$ ”
abbreviation “ $A\_wcomb \equiv A.wcomb$ ” abbreviation “ $A\_sem \equiv A.sem$ ”

```

In the context of this locale, we have available all the definitions and facts from the locale SEM for the “ $C_$ ”-prefixed parameters, as well as those for the “ $A_$ ”-prefixed parameters. We defined abbreviations so that you can use the same prefixes for the defined concepts too, e.g., C_sem , A_sem . For theorems, use the prefixes “ $C.$ ” and “ $A.$ ”.

γ is extended to states as usual:

```

definition  $\gamma\_st$  :: “ $aval \ state \Rightarrow \ cval \ state$ ”
  where “ $\gamma\_st \ s \ x \equiv \gamma (s \ x)$ ”

```

Prove that the abstract semantics is sound w.r.t. the concrete semantics. You will need lemmas about soundness of the concrete evaluation operators, as well as the following lemmas which we proved for you:

```

lemma  $wcomb\_ \gamma$ :
  assumes  $mw$ : “ $mono \ w$ ”
  and  $w$ : “ $w \ o \ \gamma\_st \leq \gamma\_st \ o \ w'$ ” and  $c$ : “ $c \ o \ \gamma\_st \leq \gamma\_st \ o \ c'$ ” and  $b$ : “ $b \ o \ \gamma\_st \leq b'$ ”
  shows “ $(C\_wcomb \ b \ c \ w) \ o \ \gamma\_st \leq \gamma\_st \ o \ (A\_wcomb \ b' \ c' \ w')$ ”
proof (subst  $le\_fun\_def$ , standard)
  have  $0$ : “ $\bigwedge s. w (c (\gamma\_st \ s)) \leq \gamma\_st (w' (c' \ s))$ ”
    using  $mw \ w \ c \ order\_trans$  unfolding  $mono\_def \ comp\_def \ le\_fun\_def$  by blast
  fix  $s$  show “ $(C\_wcomb \ b \ c \ w \ o \ \gamma\_st) \ s \leq (\gamma\_st \ o \ A\_wcomb \ b' \ c' \ w') \ s$ ”
proof (cases “ $b' \ s$ ”)
  case  $Nothing$ 
    hence “ $b (\gamma\_st \ s) = Nothing$ ”
    using  $b$  unfolding  $comp\_def \ le\_fun\_def$ 
    by (cases “ $b (\gamma\_st \ s)$ ”) (metis  $bval.simps \ not\_less\_eq \ bval$ )+
    thus ?thesis using  $Nothing$  unfolding  $C.wcomb\_def \ A.wcomb\_def$  by auto
  next
  case  $Tr$ 
    hence “ $b (\gamma\_st \ s) = Nothing \vee b (\gamma\_st \ s) = Tr$ ”
    using  $b$  unfolding  $comp\_def \ le\_fun\_def$ 
    by (cases “ $b (\gamma\_st \ s)$ ”) (metis  $not\_less\_eq \ bval$ )+
    thus ?thesis using  $Tr \ 0$  unfolding  $C.wcomb\_def \ A.wcomb\_def$  by auto
  next

```

```

case Fl
hence “ $b (\gamma\_st\ s) = \text{Nothing} \vee b (\gamma\_st\ s) = \text{Fl}$ ”
  using b unfolding comp_def le_fun_def
  by (cases “ $b (\gamma\_st\ s)$ ”) (metis not_less_eq bval)+
thus ?thesis using Fl 0 unfolding C.wcomb_def A.wcomb_def by auto
next
case Any
thus ?thesis
  unfolding C.wcomb_def A.wcomb_def
  by (auto split: bval.splits)
  (smt 0  $\gamma\_st\_def\ le\_fun\_def\ le\_sup\_iff\ mono\_ $\gamma$ \ mono\_sup\ order\_trans\ sup\_fun\_def$ )+
qed
qed

```

```

lemma lfp_wcomb_ $\gamma$ :
  assumes c: “mono c”
  and b: “mono b”
  and c’: “mono c’”
  and b’: “mono b’”
  and cc’: “c o  $\gamma\_st \leq \gamma\_st o c’$ ”
  and bb’: “b o  $\gamma\_st \leq b’$ ”
  shows “ $lfp (C\_wcomb\ b\ c) (\gamma\_st\ s) \leq \gamma\_st (lfp (A\_wcomb\ b'\ c')\ s)$ ”
proof –
  let ?F = “C_wcomb b c”
  let ?F' = “A_wcomb b' c'”
  have F: “mono ?F” and F': “mono ?F'”
  using C.mono_wcomb[OF c] A.mono_wcomb[OF c'] by auto
  have “ $mono (lfp\ ?F) \wedge lfp\ ?F \circ \gamma\_st \leq \gamma\_st \circ lfp\ ?F'$ ”
  proof (induction rule: lfp_ordinal_induct[OF F])
  case 1 then show ?case
    using wcomb_ $\gamma$ [OF _ _ cc' bb', of _ “lfp ?F'”] C.pres_mono_wcomb[OF b c]
    unfolding lfp_unfold[symmetric, OF F'] by blast
  next
  case (2 A)
  then have “ $mono (Sup\ A)$ ”
  using mono_Sup by fast
  moreover have “ $Sup\ A \circ \gamma\_st \leq \gamma\_st \circ lfp\ ?F'$ ”
  unfolding comp_def using 2 by (auto simp: le_fun_def intro: SUP_least)
  ultimately show ?case by blast
qed
thus ?thesis by (simp add: le_fun_def)
qed

```

```

lemma soundness: “C_sem c ( $\gamma\_st\ s$ )  $\leq \gamma\_st (A\_sem c\ s)$ ”

```

To get a better grasp of how the above soundness result can be used, extend α to a function between states and prove the following theorem, showing how the concrete semantics is approximated by the abstract semantics on the abstracted state:

definition $\alpha_st :: \text{"'eval state} \Rightarrow \text{'aval state"}$

lemma *soundness_α*: $\text{"C_sem c s} \leq \gamma_st (A_sem c (\alpha_st s))\text{"}$